



University of California
San Francisco

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Clinical Trials 101

NET Patient Conference
1.19.14

**Division of Hematology and
Oncology**

Department of Medicine

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What is a clinical trial?

- **Research study involving people**
- **Differ by type of trial and phase of trial**
- **Each clinical trial follows a set of strict scientific guidelines called a protocol.**

<http://www.cancer.gov/clinicaltrials/learningabout/basicworkbook/>

Clinical Trials...

- **the final step in a long research process**
- **translate basic scientific research results into better ways to prevent, diagnose, or treat cancer**
- **lead to advances in cancer care**
- **today's cancer treatments are based on previous study results**

Clinical Trials...

- **The more people who participate in clinical trials, the faster critical research questions can be answered**
 - >60% of US children participate in clinical trials
 - only 3 percent of U.S. adults with cancer participate in clinical trials → **Many barriers exist**

<http://www.cancer.gov/clinicaltrials/learningabout/basicworkbook/page3>

Types of cancer clinical trials

- **Treatment trials**
- **Prevention trials**
- **Early detection/screening trials**
- **Diagnostic trials**
- **Quality of life/supportive care trials**

<http://www.cancer.gov/clinicaltrials/learningabout/basicworkbook/>

Anti-cancer drug development

Drug Discovery
Synthesis/Formulation Development

Cell culture, signaling studies, combinations, animal models

Animal Tox

Phase I
Safety/dose

Phase II
Efficacy/Tox

Phase III
Compare new
vs. standard

FDA
Approval

Approx 15 yrs from lab to drug approval

Phase IV
long term safety

Phases of Clinical Trials

| | Phase 1 | Phase 2 | Phase 3 | Phase 4 |
|-------------------------------|--|---|--|---|
| Number of participants | 15-30 | <100 | 100' s-1000' s | Several hundred to several thousand |
| Purpose | <ul style="list-style-type: none"> •To find a safe dosage •To decide how the agent should be given •To observe how the agent/ intervention affects the human body | <ul style="list-style-type: none"> •To determine if the agent or intervention has an effect on a particular cancer •To observe how the agent/ intervention affects the human body | To compare the new agent or intervention (or new use of a treatment) with the current standard | To further evaluate the long-term safety and effectiveness of a new treatment |

Phases of cancer drug clinical trials:

| Characteristics | Phase I | Phase II | Phase III |
|-------------------------------|--|---|--------------------------------------|
| Time to complete trial | ≈2 yr | ≈3-4 yr | ≈4-5 yr |
| Randomization | No | +/- | Yes |
| # Centers | 1-5 | 1-several | many |
| Goals | Dose escalation; initial Safety & PK | Proof of concept (Efficacy signal) Toxicity | Efficacy (establish new standard) |
| Disease-specific | +/- | Yes | Yes |

Investigator-initiated (smaller trials, often single institution)

Industry-sponsored -----

Cooperative group (NIH/NCI sponsored)-----

Biomarkers:

- **Potential to expedite process**
- **Use to enrich for patients likely to benefit and/or to provide evidence that the drug is inhibiting its target**
 - Glassman and Ratain. Clin Pharm and Ther, 2009

The Research Protocol

- Background/Objectives: What are we trying to learn?
- Patient Eligibility: What group are we studying?
- Treatment Plan: What agent, dose schedule? What tests will be required?
- Statistical Methods: How many patients needed to draw valid conclusion? What information will be tracked (e.g. harmful effects of the drug, overall survival, tumor response)?
- Study Monitoring: How can we be sure the study data are reliable? How can we be sure its safe?

<http://www.cancer.gov/clinicaltrials/learningabout/basicworkbook/>

What determines eligibility?

- **Disease (e.g. high grade vs low-intermediate grade; PNET vs carcinoid)**
 - Site of origin (e.g. midgut, foregut, hindgut)
 - Ki67
- **Stage (e.g. early vs metastatic disease)**
- **Stable disease vs progressive disease**
 - Functional v nonfunctional tumor
- **Prior therapy**
- **Current medication**
- **Other medical problems, etc.**

Participant protection in clinical trials

- **Informed consent**
 - Process by which participant learns about risks/benefits
- **2 review panels:**
 - Scientific review
 - Institutional Review Board (IRB)-oversees clinical research at the local institution)
- **Monitoring (throughout the study)**
 - IRB-monitors patient safety
 - Data and safety monitoring boards (Phase III trials; periodic reviews of study conduct and participant safety)
 - Required reports to Federal agencies

<http://www.cancer.gov/clinicaltrials/learningabout/basicworkbook/>

How can you find out about clinical trials?

- **Ask your doctor**
- **Call the NCI's Cancer Information Service (1-800-4-CANCER (1-800-422-6237))**
- **Log on to NIH website (US government and industry-sponsored trials):**
www.clinicaltrials.gov
- **Local cancer center website**
- **Foundations (e.g. Caring for Carcinoid)**

Is a trial right for you?

- **Why is the study being done?**
- **What kinds of tests and treatments are involved?**
- **What are the possible side effects or risks of the new treatment? How do they compare to my other options?**
- **What are the possible benefits?**
- **How could the trial affect my daily life?**
- **Will I have to travel long distances?**
- **Will I have to pay for any of the treatments or tests?**

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CURRENT CLINICAL TRIALS

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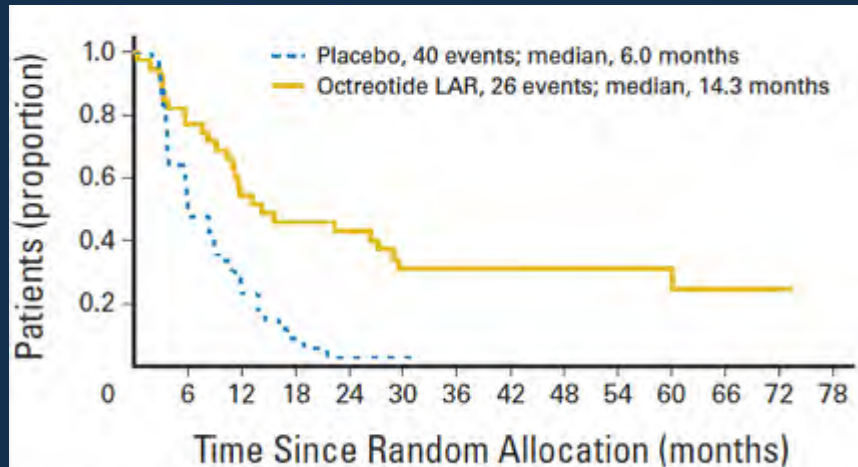
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Clinical Trials

CARCINOID

CARCINOID

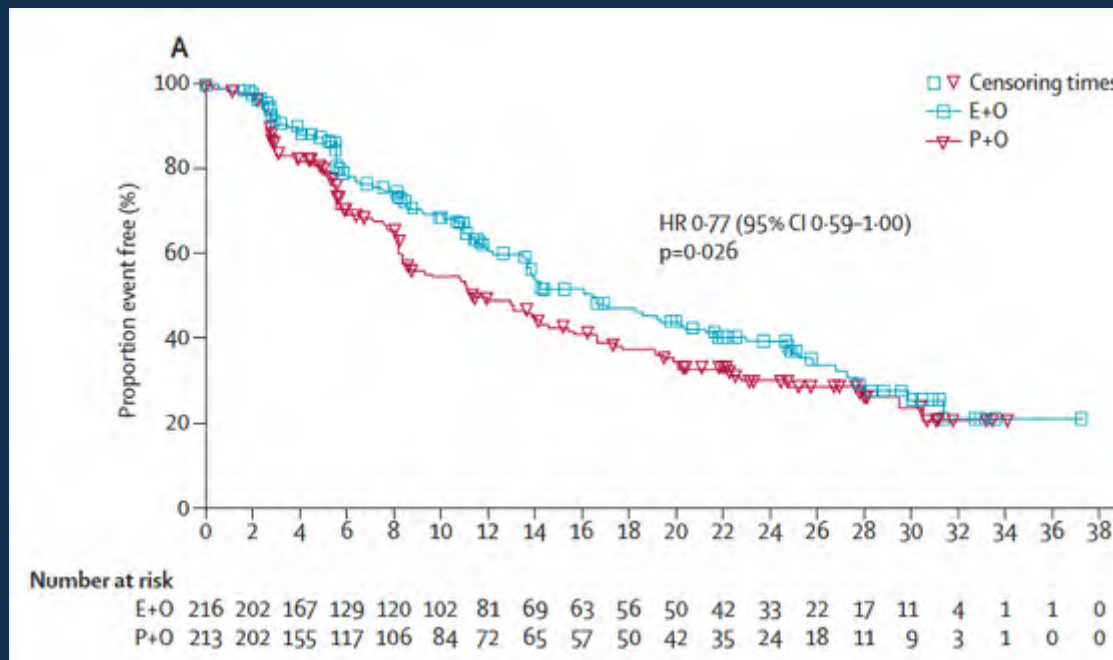
- Octreotide is approved for symptom control in patients with functional tumors
 - Octreotide delays tumor progression in mid-gut tumors (PROMID study; Rinke et al. 2009. Interim analysis, 85 pt)



- Lanreotide delays progression in nonfunctional well-diff NET (but not yet FDA approved for this) (CLARINET study; Phan et al. NANETS, 2013) median PFS 18 mo placebo (21 mo midgut, 12 mo PNET) vs Not reached; 204 pt
- Role of PRRT uncertain in US

CARCINOID

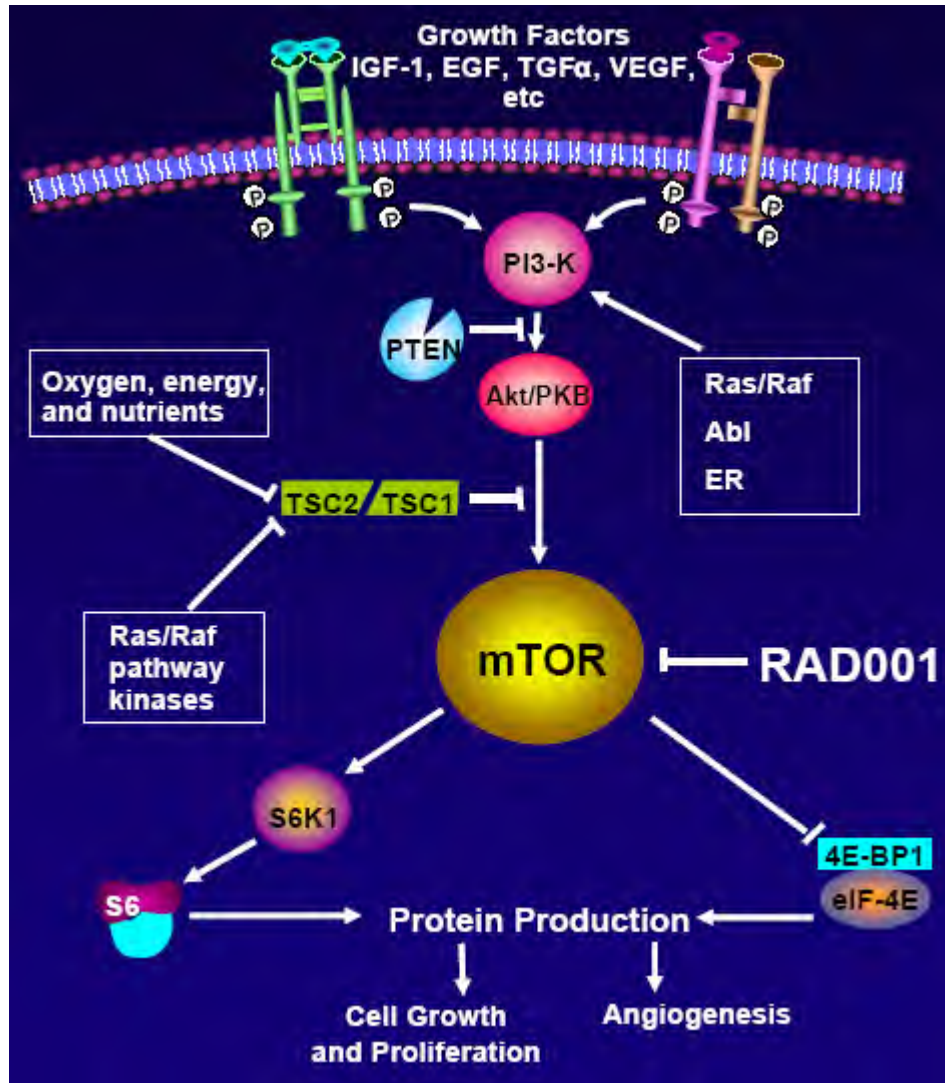
- Liver-directed therapies often employed (SIRT, HAE, HACE)
- Chemotherapy not routinely used
- Everolimus (mTOR inhibitor): category 3 per NCCN guidelines (i.e. *not FDA approved* for carcinoid)
 - RADIANT-2 (Pavel, et al. 2011)-progressive functional tumors



Everolimus 16.4 mo
Placebo 11.3 mo

NO SIGNIFICANT DIFF
(statistically)

Everolimus inhibits mTOR pathway



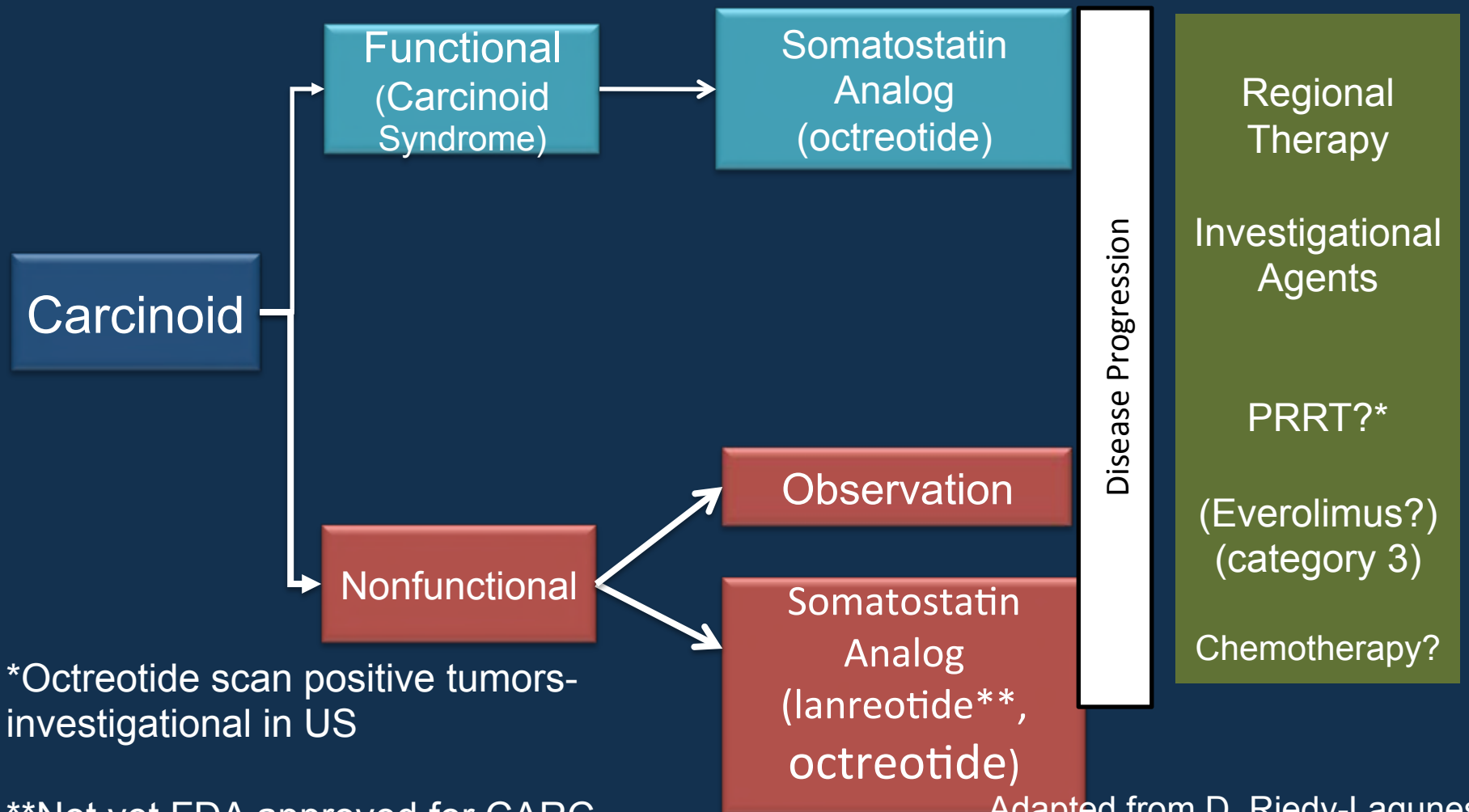
- Patients with inherited problems of mTOR inhibition (TSC1/2) develop NETs ‡§
- Whole genome sequencing in pNET-15% w/ mutations in mTOR pathway†
- **Everolimus** (RAD001) inhibits mTOR signaling -FDA approved for pNET in April 2011
- Whole genome sequencing in small bowel NETs also (+) mutations in mTOR pathway

*Van Gompel et al. *Surgery*. 2004;136:1297-1302

†Jiao et al. *Science* 2011;60:4573-4581

‡Bank, *JNCI*, 2013

Medical Treatment Outlook for Unresectable Advanced Carcinoid in 2014



*Octreotide scan positive tumors-
investigational in US

**Not yet FDA approved for CARC

Adapted from D. Riedy-Lagunes

RECENT/CURRENT RANDOMIZED TRIALS IN CARCINOID

| | | | |
|--|--|-----------|--------------------------------------|
| SWOG 0518 BEV/LAR vs IFN/LAR | Advanced progressive carcinoid | Phase III | Accrual completed, results pending |
| RADIANT-4: Everolimus v placebo | Progressive, nonfunctional NETS of GI and Lung origin; | Phase III | Accrual completed Results pending |
| NETTER-1 177Lu-DOTA0-Tyr3-Octreotate v Octreotide LAR | Progressive SSTR (+) midgut, low grade carcinoid tumors | Phase III | OPEN |
| ALLIANCE 81102 Pazopanib v placebo | Progressive well diff NET (nonpancreatic; prior progression on LAR required for mid-gut) | Phase II | OPEN |
| TELESTAR Telotrastat v placebo | Inadequately controlled carcinoid syndrome | Phase III | OPEN (symptom control) |

Phase III Study Comparing ^{177}Lu -DOTA⁰-Tyr³-Octreotate to Octreotide LAR in Patients With, Progressive, Somatostatin Receptor Positive Midgut Carcinoid Tumours (NETTER-1)

1° endpoint PFS

- Low grade; Ki67 < 20%
- Progressive SSTR(+) midgut CARC (by RECIST w/i 3 yr)
- On 20-30mg/mo LAR q 3-4 wk

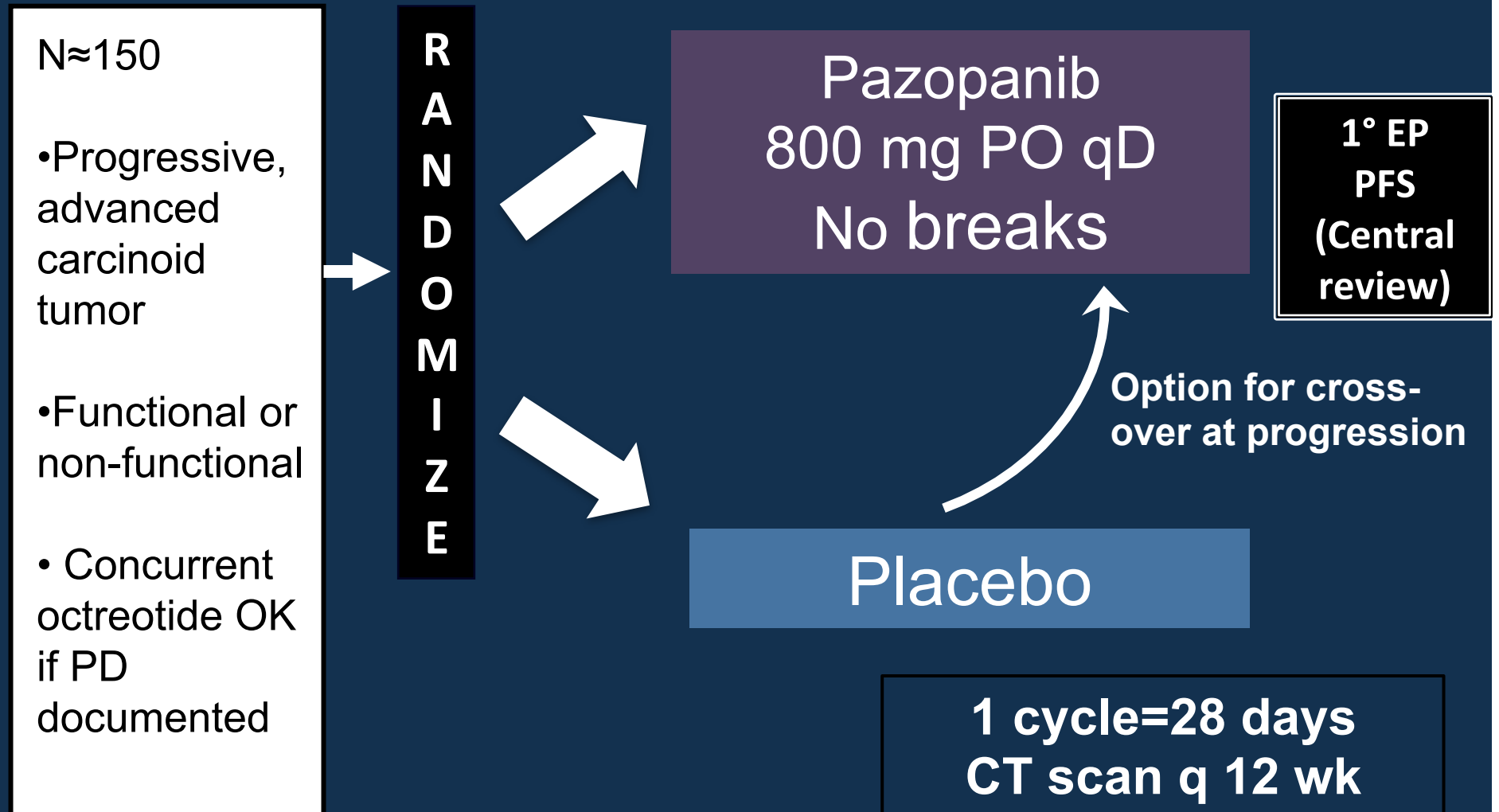


^{177}Lu -DOTA⁰-Tyr³-Octreotate x 4+ 30 mg octreotide LAR/mo

Octreotide LAR 60 mg/mo

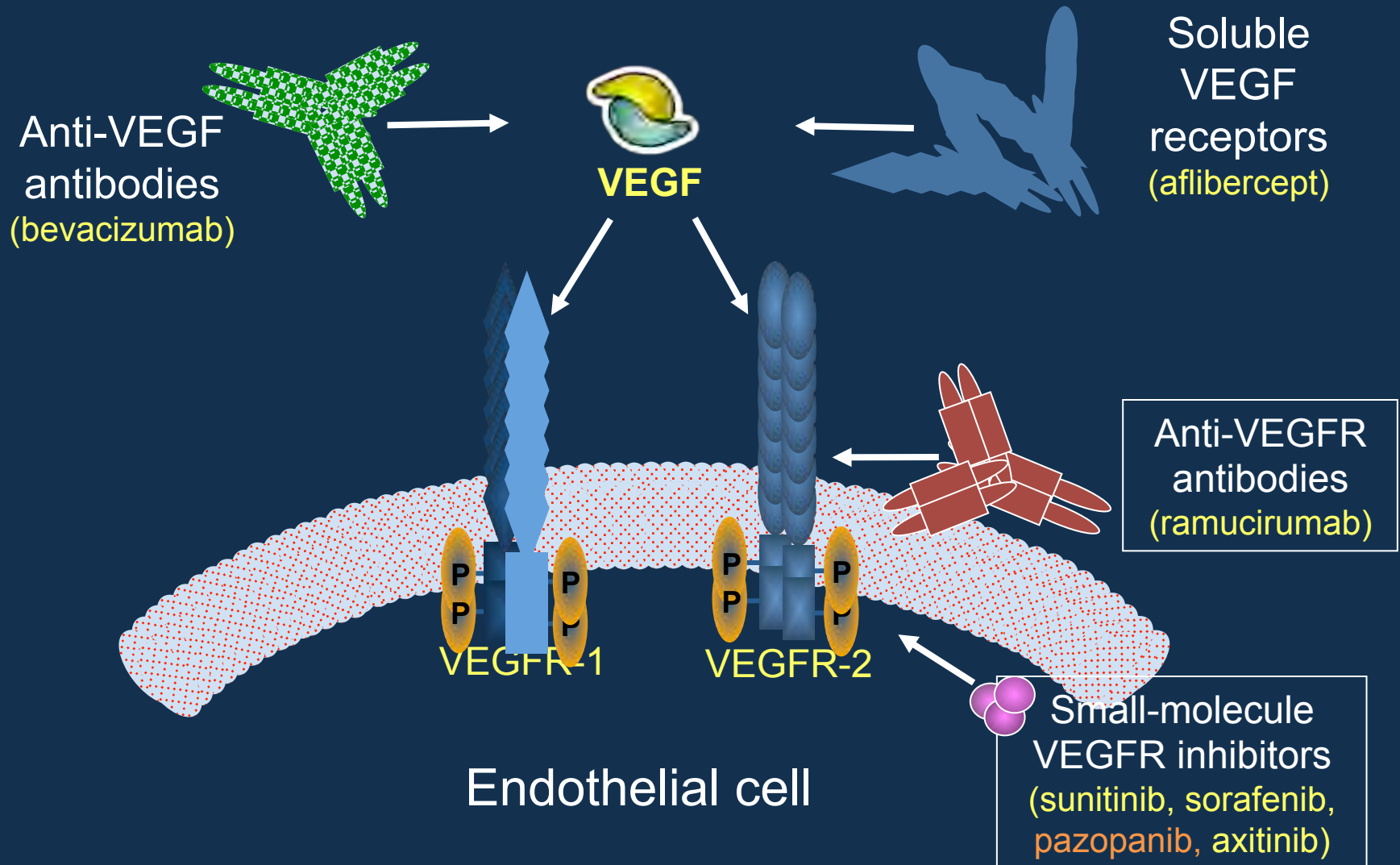
Sponsor: Advanced Accelerator Applications, France

A021202 : Randomized phase II trial of pazopanib v placebo in CARCINOID

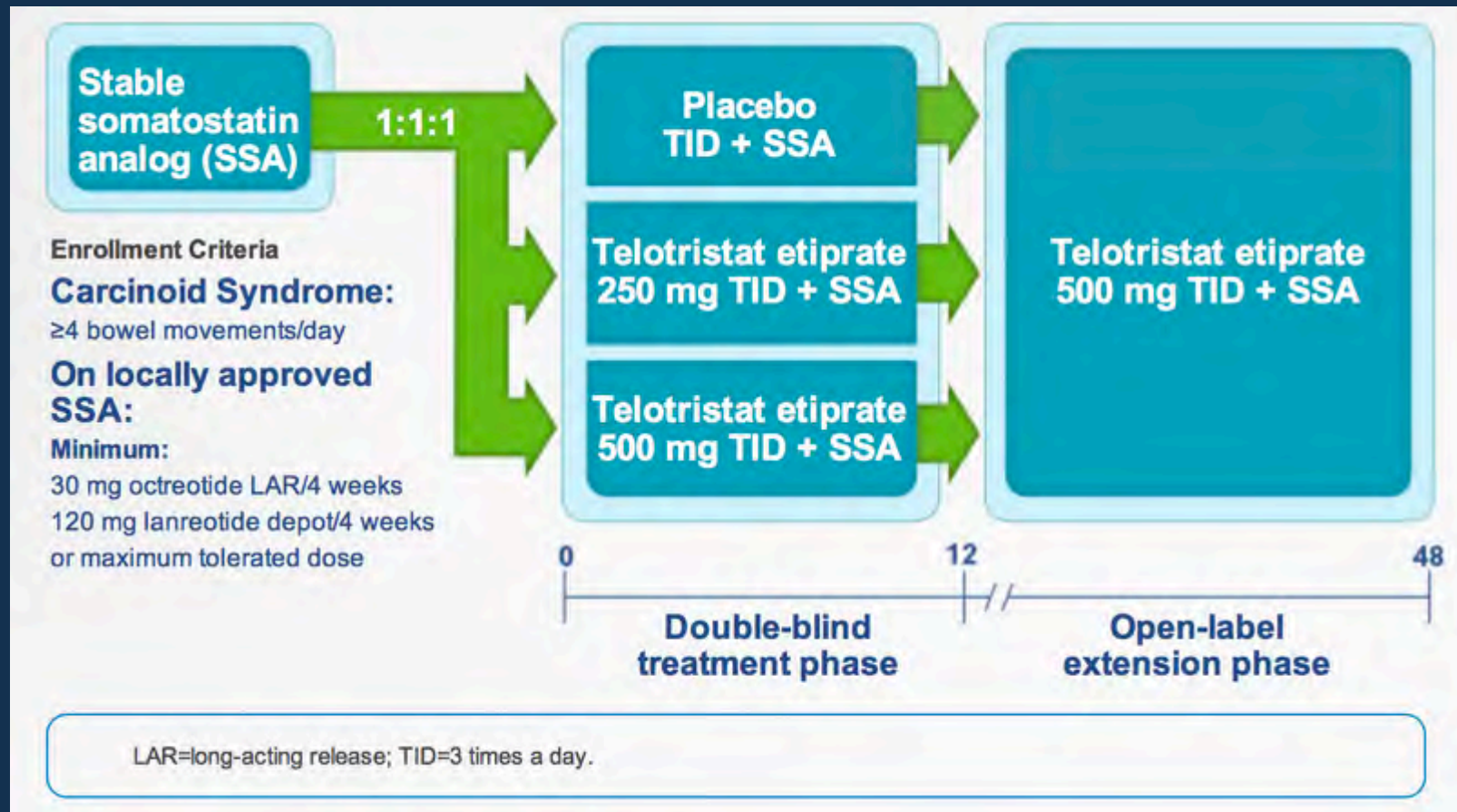


* Progression on octreotide required for midgut tumors

Agents Targeting the VEGF Pathway block tumor-associated blood vessel growth (angiogenesis)



TELESTAR Trial: Phase III trial of telotristat v placebo in patients with inadequately controlled carcinoid syndrome



1°EP: change in daily BM from baseline to wk 12

Clinical Trials

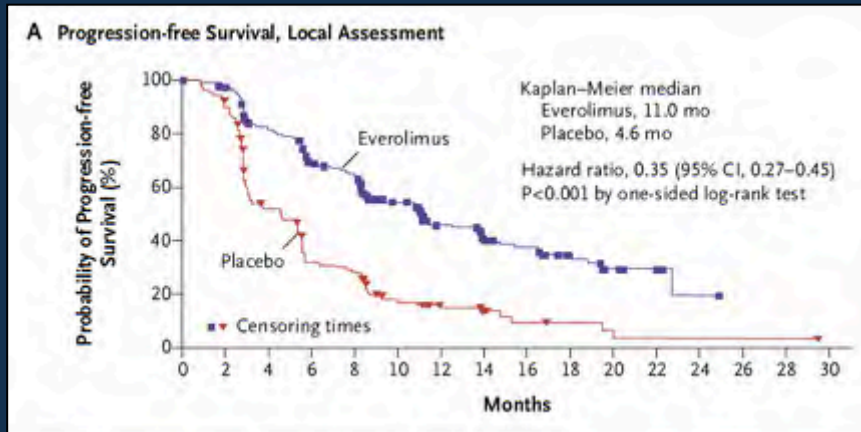
PANCREATIC NET (PNET)

PNET

- **Lanreotide delays progression in nonfunctional well-diff NET (not yet available)**
- **Liver-directed therapies often employed (SIRT, HAE, HACE)**
- **Role of PRRT uncertain in US**
- **Chemotherapy used in selected patients (streptozocin-based or temozolomide-based)**

PNET

- Everolimus delays progression in patients with progressive PNET (Raymond, et al 2011)-**FDA approved**

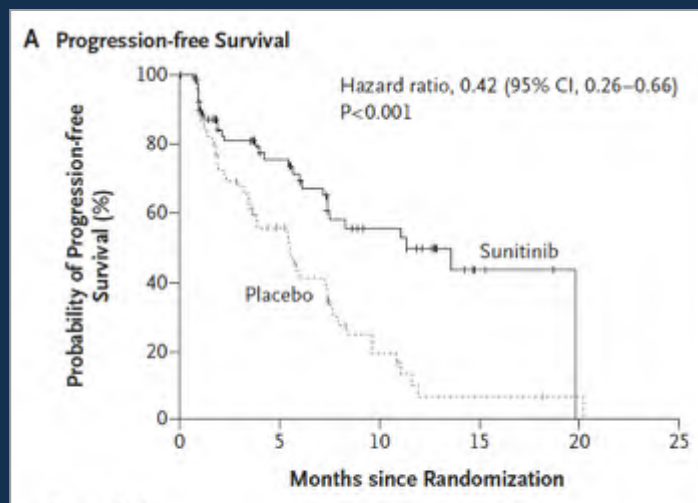


| | |
|------------|---------|
| Everolimus | 11.0 mo |
| Placebo | 4.6 mo |

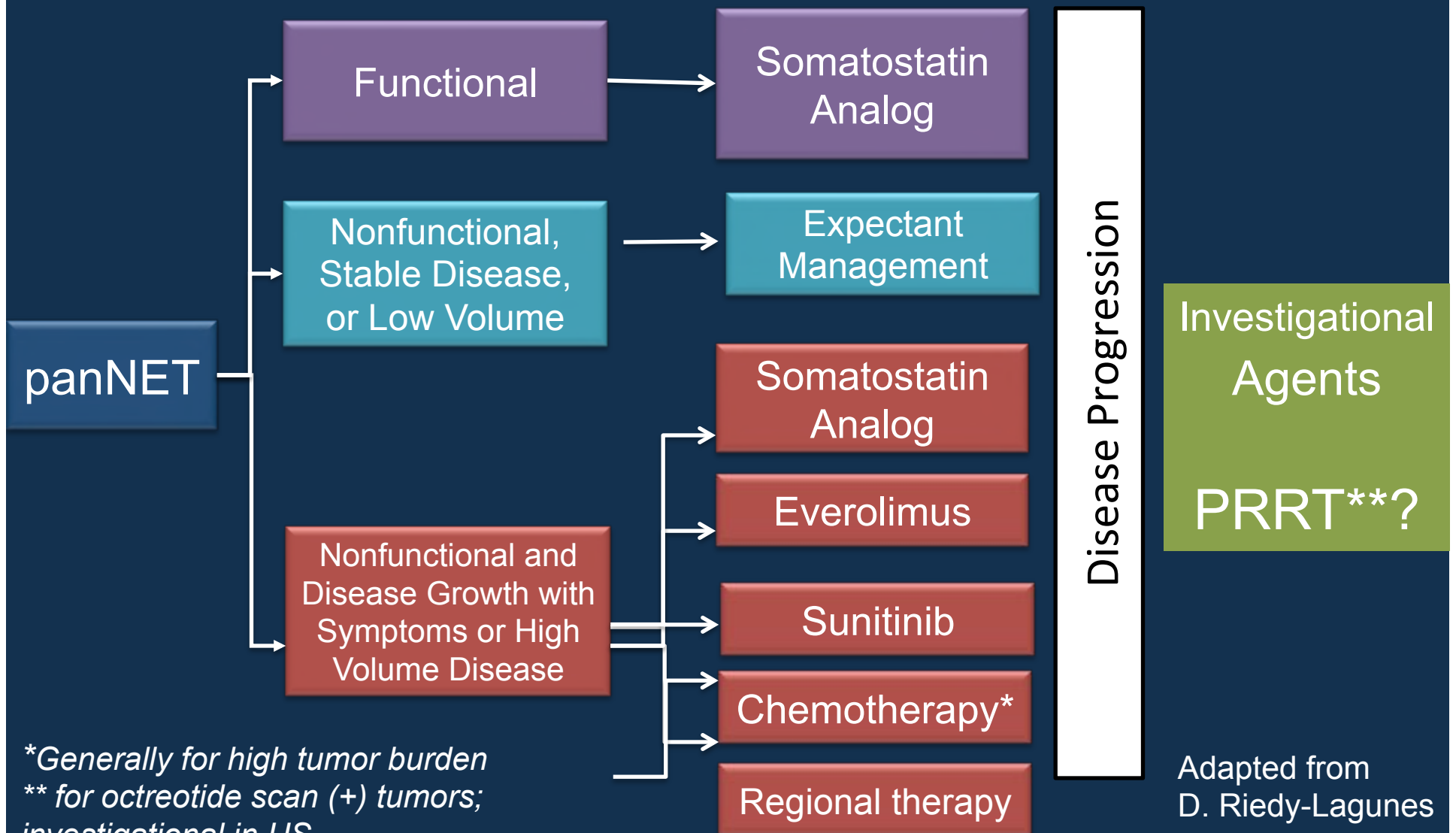
- Sunitinib delays progression in patients with progressive PNET (Yao, et al 2011):

FDA approved

| | |
|-----------------|---------|
| Sunitinib | 11.4 mo |
| Placebo | 5.5 mo |
| HR 0.42, P<0.01 | |



Medical Treatment Outlook for Unresectable advanced Pancreatic NETs in 2014



*Generally for high tumor burden
** for octreotide scan (+) tumors;
investigational in US

Adapted from
D. Riedy-Lagunes

Summary: streptozocin-based chemotherapy- pancreatic NETs

| REGIMEN | N | AUTHOR | YEAR | RESPONSE RATE (%) |
|--|----|-----------|------|-------------------|
| Streptozocin + fluorouracil ¹ | 95 | Pavel | 2014 | 43% |
| Streptozocin + doxorubicin ² | 38 | Moertel | 1992 | 69% |
| Streptozocin + doxorubicin ³ | 16 | Cheng | 1999 | 6% |
| Streptozocin + doxorubicin + fluorouracil ⁴ | 84 | Kouvaraki | 2004 | 39% |

1. Pavel et al ASCO 2014 2. Moertel CG et al. *N Engl J Med* 1992;326:519-523; 3. Cheng PN and Saltz LB. *Cancer* 1999;86:944-948; 4. Kouvaraki MA et al. *J Clin Oncol* 2004;22:4762-4771

Temozolomide-based regimens

| REGIMEN | N | AUTHOR | YEAR | RESPONSE RATE (%) |
|--|-----|-----------|------|-------------------|
| Temozolomide + thalidomide ¹ | 11* | Kulke | 2006 | 45% |
| Temozolomide + bevacizumab ² | 15* | Chan | 2012 | 33% |
| Temozolomide + capecitabine ³ | 30 | Strosberg | 2010 | 70% |
| Temozolomide + capecitabine ⁴ | 28 | Fine | 2014 | 43%** |

*pancreatic NET cohort of trial

** MIX of tumors

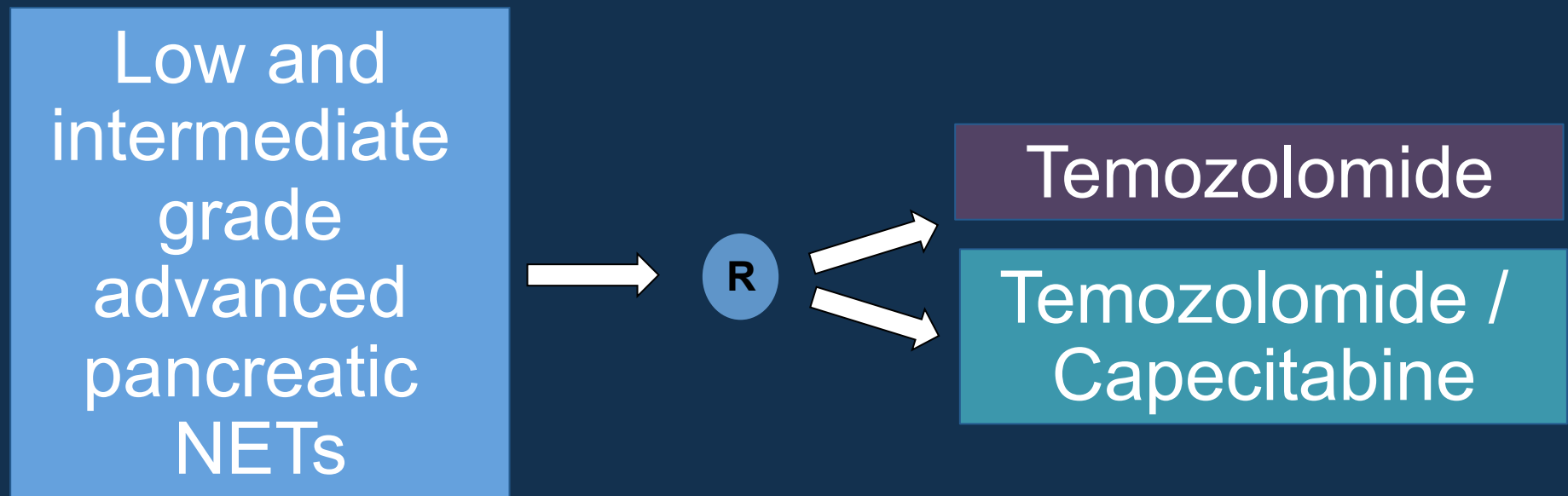
1. Kulke M et al. *J Clin Oncol* 2006;24:401–406; 2. Chan JA et al. *J Clin Oncol* 2012;30:2963–2968;
 3. Strosberg J et al. *Cancer* 2011;117:268–275 4. Fine R et al ASCO GI 2014; interim analysis

RANDOMIZED TRIALS IN PNET

| | | | |
|---|---------------------|----------|---|
| CALGB 80701 Everolimus/LAR vs Everolimus +BEV/ LAR | Progressive PNET | Phase II | Accrual completed, results pending |
| E2211 capecitabine/tem vs tem | PNET | Phase II | OPEN |
| E2212 Everolimus v placebo after resection | PNET | Phase II | OPEN |

E2211 - A Randomized Study of Temozolomide or Temozolomide+Capecitabine in Patients with Advanced Pancreatic Neuroendocrine Tumors -OPEN

- 1°EP PFS



- Progression w/ 12 mo
- No prior 5-FU, cape, temozolomide or DTIC

E2212: Randomized Double-Blinded, Placebo-Controlled Phase II Study of Adjuvant Everolimus Following the Resection of Metastatic Pancreatic Neuroendocrine Tumors to the Liver

Advanced pNET following R0 or R1 resection +/- RF ablation of hepatic metastases

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Everolimus 10 mg po qd for 12 months (n=75)

Primary Endpoint: PFS

Placebo daily for 12 months (n=75)

Correlates: Circulating tumor DNA, mutational status (*PI3K, TSC1/2, DAXX, ATRX, menin*)

Clinical Trials

HIGH GRADE NEUROENDOCRINE CARCINOMAS (NEC)

High grade NEC

- **Typically treated like small cell lung cancer**
- **First line therapy typically platinum-based (e.g. cisplatin/etoposide)**
 - Recent data suggest that not all G3 tumor equally sensitive to chemotherapy (Sorbye, et al Ann Oncol 2013)
 - Ki67>55% 42% RR
 - Ki67<55% 15% RR (305 pt, P<0.001)
- **No standard second line and beyond**
 - Temozolomide-based chemotherapy appears to have activity in retrospective study of GI NEC (RR 33%; Welin, et al. 2011)
- **First line randomized trial in development**
- **Refractory patients: Phase I trials, small cell carcinoma etc. (Trials specifically for high grade NEC are relatively hard to find)**

Clinical Trials: Bay Area

SUMMARY

Bay Area NET Trials: Stanford

- **PNET: Ph II Temozolomide, Cape, Bevacizumab**
- **PNET: Ph II Temozolomide vs. Temozolomide + Cape**
- **CARC: Ph III ^{177}Lu -DOTA⁰-Tyr³-Octreotate vs. Octreotide**
- **CARC SYNDROME: Ph III Telotristat vs. Placebo**
- **NET Registry database**

Bay Area NET Trials: UCSF

- **CARC: Ph II Axitinib**
- **CARC: Ph II Pazopanib vs. placebo**
- **CARC SYNDROME: Ph III Telotristat vs. placebo**

- **PNET: Ph II Temozolomide vs. Temozolomide + Cape**
- ***PNET: Phase II oral TKI trial—open soon***

- **MIXED: Theraspheres for treatment of metastatic liver disease from primary NET**

Unanswered Questions: Clinical Trials

- **Optimal timing and type of nonsurgical liver-directed treatment (HAE, SIRT, etc)?**
- **Relative efficacy and safety of PRRT in NET (and optimal timing of intervention)?**
- **Role of other systemic agents?**
 - Optimal chemotherapy regimen?
- **Can treatment be individualized to patient/tumor (biomarkers)?**
- **Are there new pathways/targets that should be targeted in NET?**
 - Mechanisms of resistance?